

FD-566 PCT/PTO 23-1207-45
ATTORNEY'S DOCKET NUMBER 22 FEB 2005

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)		ATTORNEY'S DOCKET NUMBER 02165PCT
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) OF EVENT DATE 10/525848
INTERNATIONAL APPLICATION NO. PCT/JP2003/010818	INTERNATIONAL FILING DATE 27 August 2003	PRIORITY DATE CLAIMED 06 September 2002
TITLE OF INVENTION Compound Semiconductor Particles and Production Process Therefor		
APPLICANT(S) FOR DO/EO/US Masakazu KOBAYASHI Mitsuo TAKEDA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ul style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.4. <input checked="" type="checkbox"/> The US has been elected (Article 31).5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))<ul style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ul style="list-style-type: none">a. <input type="checkbox"/> is attached hereto.b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<ul style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input checked="" type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).		
Items 13 to 23 below concern document(s) or information included:		
<ul style="list-style-type: none">13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.15. <input checked="" type="checkbox"/> A FIRST preliminary amendment.16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.17. <input type="checkbox"/> A substitute specification.18. <input type="checkbox"/> A power of attorney and/or change of address letter.19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).22. <input checked="" type="checkbox"/> Express Mail Label No. ED-561-123-007-US23. <input checked="" type="checkbox"/> Other items or information:<ul style="list-style-type: none">1) Copy of WO 2004/022483 A1 for which this case is the national phase entry; 2) Copy of Form PCT/IB/301;3) Copy of Form PCT/IB/308; 4) Certificate Of Mailing Via Express Mail; 5) Second set of formal drawings; and 6) Postcard Receipt.		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) 10/525848 OFFICE DATE		INTERNATIONAL APPLICATION NO. PCT/JP2003/010818		ATTORNEY'S DOCKET NUMBER 02165P	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
78 - 100 =	0 /50 =	0	x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	5 - 20 =	0	x \$50.00	\$ 0.00	
Independent claims	3 - 3 =	0	x \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
SUBTOTAL =				\$ 1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 1,000.00	
Amount to be refunded:				\$	
Amount to be charged:				\$	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,000.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3288. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Robert J. Jacobson Robert J. Jacobson, P.A. 650 Brimhall Street South St. Paul, MN 55116-1511</p>					
				<p><i>Robert J. Jacobson</i> 2-22-05</p> <p>SIGNATURE</p> <p>Robert J. Jacobson</p> <p>NAME</p> <p>32,419</p> <p>REGISTRATION NUMBER</p>	

Practitioner's Docket No. 02165PCT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/525848
BT01 Rec'd PCT/PTC 22 FEB 2005

In re application of: Masakazu KOBAYASHI;
Nippon Shokubai Co., Ltd.

Serial No.: OF EVEN DATE

Filed: February 22, 2005

For: Compound Semiconductor Particles and Production Process

Therefor

Examiner:

Group No.:

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING VIA EXPRESS MAIL

Sir:

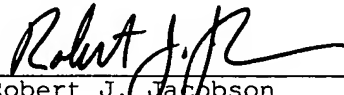
I hereby certify that the attached patent application (or national stage documents) consisting of the following papers is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on February 22, 2005 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, under Express Mail Post Office to Addressee Label No. ED-561-123-007-US:

1. This Certificate Of Mailing Via Express Mail dated 02/22/2005 (one page);
2. Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371 dated 02/22/2005 (two pages);
3. Check no. 522205707 in the amount of \$1000.00;
4. Application Data Sheet (three pages);
5. Copy of Notification Of Receipt Of Record Copy (PCT/IB/301) (three pages);
6. Notice Informing The Applicant Of The Communication Of The International Application To The Designated Offices (PCT/IB/308) (one page);
7. English text as to the application: a). Description (pages 1-74); b) Claims (page 75); c) Abstract (page 76); and Formal Drawings (two sheets);
8. Second set of formal drawings (two sheets in manila folder, with characters in header and footer removed relative to the first set);
9. A FIRST preliminary amendment dated 02/22/2005 (five pages);
10. Copy of WO 2004/022483 A1, i.e., the International Publication Document for which this case is the national phase entry;
11. A copy of the International Search Report (one page, stapled to item number 10, the International Publication Document); and
12. Postcard Receipt.

Respectfully submitted,

Date:

2-22-05


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